

8011-01p SECURITIES AND EXCHANGE COMMISSION

Submission for OMB Review; Comment Request

Upon Written Request Copies Available From:

U.S. Securities and Exchange Commission Office of FOIA Services 100 F Street NE Washington, DC 20549-2736

Extension: Supplier Diversity Business Management System

SEC File No. 270-663, OMB Control No. 3235-0724

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension of the previously approved collection of information summarized below.

The Commission is required under Section 342 of the Dodd-Frank Wall Street and Reform Act to develop standards and processes for ensuring the fair inclusion of women-owned and minority-owned businesses in all of the Commission's business activities. To help implement this requirement, the Office of Minority and Women Inclusion (OMWI) developed and maintains an electronic Supplier Diversity Business Management System (the System) to collect up-to-date business information and capabilities statements from diverse suppliers interested in doing business with the Commission. This information allows the Commission to update and more effectively manage its current internal repository. It also allows the Commission to measure the effectiveness of its technical assistance and outreach efforts, and target areas where additional program efforts are necessary.

The Commission invites comment on the System. Information is collected in the System via web-based, e-filed, dynamic form-based technology. The company point of contact completes a profile consisting of basic contact data and information on the capabilities of the business. The profile includes a series of questions, some of which are based on the data that the individual enters. Drop-down lists are included where appropriate to increase ease of use.

The information collection is voluntary. There are no costs associated with this collection. The System allows suppliers to self-register via a secure web portal that is accessible through a hyperlink on the Commission's public website. The form also is accessible via a web-link generated and emailed to the suppliers by the System.

Estimated number of annual responses = 300

Estimated annual reporting burden = 150 hours (30 minutes per submission)

Since the last approval of this information collection, we have adjusted the estimated number of respondents from 500 to 300 respondents per year, based on the actual response rate for the past two years and anticipated increase in that response rate with the posting of a link to the System on our webpage to allow self-registration. This reduction in the number of respondents has resulted in a 100-hour reduction in the total burden estimate.

On February 2, 2018, the Commission published a notice in the Federal Register (83 FR 4936) of its intention to request extension of this currently approved collection of information, and allowed the public 60 days to submit comments. The Commission received no comments.

Written comments continued to be invited on: (a) whether this collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden imposed by the collection of information; (c) ways to enhance the quality, utility, and clarity of

the information collected; and (d) ways to minimize the burden of the collection of information

on respondents, including through the use of automated collection techniques or other forms of

information technology.

The public may view the background documentation for this information collection at the

following Web site, www.reginfo.gov. Comments should be directed to: (i) Desk Officer for the

Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of

Management and Budget, Room 10102, New Executive Office Building, Washington, DC

20503, or by sending an email to: Shagufta\_Ahmed@omb.eop.gov; and (ii) Pamela Dyson,

Director/Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-

Simon, 100 F Street NE., Washington, DC 20549 or send an email to: PRA\_Mailbox@sec.gov.

Comments must be submitted to OMB within 30 days of this notice.

Eduardo A. Aleman **Assistant Secretary** 

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